MATH 7240 Homework 3

Andrea Bourque

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1 Problem 21.1

Let R = k[x, y] and $I = \langle x, y \rangle$. Show that the Rees algebra $\mathrm{Rees}_R(I)$ is isomorphic to the graded algebra $R[U, V]/\langle xV - yU \rangle$, where U, V have degree 1.

Proof. Write the Rees algebra as $\bigoplus_{n=0}^{\infty} I^n t^n$. The correspondence is that t "is the same as" $\frac{U}{x}$, which is also equal to $\frac{U}{y}$. For instance, in degree 1, an element of $R[U,V]/\langle xV-yU\rangle$ is

$$fU + gV = fU + g\frac{yU}{x} = (xf + yg)\frac{U}{x},$$

and $xf + yg \in \langle x, y \rangle$. In general, a degree n element of $R[U, V]/\langle xV - yU \rangle$ IS

$$\sum f_i U^i V^{n-i} = \sum f_i \frac{y^{n-i}}{x^{n-i}} U^n = \left(\sum f_i x^i y^{n-i}\right) \left(\frac{U}{x}\right)^n,$$

and $\sum f_i x^i y^{n-i} \in I^n$, so the isomorphism is clear.

With the notation of Problem 21.1, the blow up $\mathrm{Bl}_R(I)$ is isomorphic to the closed subscheme of \mathbb{P}^1_R defined by xV-yU=0. This is covered by two open sets $U_1=D(U), U_2=D(V)$. Show that $U_1=\mathrm{Spec}(k[x,\frac{V}{U}]), U_2=\mathrm{Spec}(k[\frac{U}{V},y]),$ and the map $\pi:\mathrm{Bl}_R(I)\to\mathrm{Spec}(R)$ is given on these open sets by $(x,\frac{V}{U})\to(x,x\frac{V}{U})$ and $(\frac{U}{V},y)\to(y\frac{U}{V},y).$

Proof. By definition, U_1 is Spec of the degree 0 part of $R[U,V]/\langle xV-yU\rangle$ localized at U. Degree 0 elements of this localization are of the form f/U^n for f of degree n, say $f=\sum f_i U^i V^{n-i}$ for $f_i\in R$. Then $f/U^n=\sum f_i (V/U)^{n-i}$, so we have R[V/U]. Furthermore, since we are on the subscheme defined by xV-yU=0, we have y=xV/U, so R[V/U]=k[x,V/U] as desired.

The blowup map π is induced by the map $R \to \operatorname{Rees}_R(I) = R[U,V]/\langle xV - yU \rangle$ which simply takes a polynomial to the degree 0 part. The map $\pi: U_1 \to \operatorname{Spec}(R)$ is then naturally given by the map $R \to k[x,V/U]$ which maps y to xV/U.

A similar analysis gives the desired results for U_2 .

If $f:Y\to X$ is a continuous map of Noetherian spaces, and if $E\subset X$ is constructible, then $f^{-1}(E)$ is constructible.

Proof. In Noetherian spaces, constructible sets are those sets which are finite unions of locally closed sets. In general, inverse images preserve finite unions and finite intersections. Since f is continuous, f^{-1} preserves closed and open sets. Thus the inverse image of a finite union of locally closed sets is the finite union of locally closed sets as desired.

Let X be Noetherian, and let $Y \subset X$ be constructible. Show that the subsets of Y which are constructible in Y are exactly those subsets of Y which are constructible in X.

Proof. Write $Y = \bigcup_{i=1}^n U_i \cap V_i$, for open sets U_i and closed sets V_i . Let $E \subset Y$. First suppose $E = \bigcup_{j=1}^m A_j \cap B_j$ for A_j open in Y, B_j closed in Y. The open and closed subsets of Y are, by definition, intersections of Y with open and closed subsets of X, respectively. Thus write $A_j = A'_j \cap Y$, $B_j = B'_j \cap Y$ for A'_j, B'_j open and closed in X. Then

$$E = \bigcup_{j=1}^m A_j \cap B_j = \bigcup_{j=1}^m (A'_j \cap Y) \cap (B'_j \cap Y) = Y \cap \bigcup_{j=1}^m A'_j \cap B'_j.$$

Thus E is the intersection of constructible subsets of X, which is constructible. Now suppose $E = \bigcup_{j=1}^m P_j \cap Q_j$ for P_j, Q_j open and closed in X. Since $E \subset Y$, we have $P_j \cap Q_j \subset Y$ for each j. Then

$$P_i \cap Q_i = (P_i \cap Q_i) \cap Y = (P_i \cap Y) \cap (Q_i \cap Y)$$

is locally closed in Y, so E is constructible in Y.

Let X be irreducible. Show that a set $E\subset X$ is nowhere dense if and only if E is not dense.

Proof. (\rightarrow) Nowhere dense means that $X-\overline{E}$ is dense. If E is dense, then we have $\overline{\varnothing}=X,$ i.e. $X=\varnothing.$ So E is not dense (assuming X is not empty).

 (\leftarrow) Suppose E is not dense. Note that $X=\overline{(X-\overline{E})}\cup\overline{E}$. Since X is irreducible and $\overline{E}\neq X$, we must have $\overline{X-\overline{E}}=X$, so X is nowhere dense. \square

Let X be a Noetherian irreducible space. Let $E \subset X$ be constructible. Show that E is dense if and only if E contains a non-empty open subset of X.

Proof. (\rightarrow) Suppose E is dense. Let $E=\bigcup_{i=1}^n U_i\cap V_i$, where U_i,V_i are open and closed in X respectively. Then

$$X = \overline{E} = \bigcup_{i=1}^{n} \overline{U_i \cap V_i} \subset \bigcup_{i=1}^{n} V_i.$$

Since X is irreducible, this means X is equal to one of V_i ; in particular, all the V_i are either X or \emptyset . Assuming X is non-empty, we must have E is non-empty. Then for one of the V_i which is equal to X, the corresponding U_i must be non-empty (otherwise E would be the union of empty sets). Then $U_i \cap V_i = U_i$ is a non-empty open subset of E.

 (\leftarrow) Let U be a non-empty subset of E which is open in X. Since X is irreducible and $X = \overline{U} \cup (X - U)$, we have $\overline{U} = X$. Thus $\overline{E} = X$ also.

Let X be a Noetherian topological space. Then $E \subset X$ is constructible if and only if, for every closed irreducible subset Y of X, either $E \cap Y$ is nowhere dense in Y, or $E \cap Y$ contains an open subset of Y.

Proof. (\rightarrow) Note that $E \cap Y$ is constructible in Y; a short proof is to apply Problem 22.1 to the inclusion map $i:Y \hookrightarrow X$, noting also that Y is Noetherian, since any subspace of a Noetherian space is Noetherian. Now, $E \cap Y$ is either dense or not dense. By Problems 22.3 and 22.4, we get that either $E \cap Y$ is nowhere dense or E contains a non-empty open subset of Y.

 (\leftarrow) We use Noetherian induction. Suppose that for all proper closed subsets $Y\subset X$, we have $E\cap Y$ is constructible (Note: Problem 22.2 ensures that it doesn't matter whether $E\cap Y$ is constructible in Y or in X). Note that this property is true when $Y=\varnothing$. Since X is Noetherian, it has a finite number of irreducible components. If X is not irreducible, then it is a finite union of proper closed (irreducible) subsets X_i , for which each $E\cap X_i$ is constructible by assumption. Then E is a finite union of constructible sets, which is constructible. If X is irreducible, then we are assuming that either E is nowhere dense in X or E contains an open subset of X. If E is nowhere dense, then from Problem 22.3 it is not dense, so \overline{E} is a proper closed set, whence $E=E\cap\overline{E}$ is constructible by the induction hypothesis. If E contains a non-empty open subset E of E of E is constructible by the induction hypothesis. Then $E=((X-U)\cap E)\cup U$ is the union of two constructible sets (opens are constructible), so E is constructible. Thus, in either case, E is constructible, so we are done by induction.

Consider the morphism $f: \mathbb{P}^1 \to \mathbb{P}^3$ given by the invertible sheaf $\mathcal{L} = \mathcal{O}(4)$ on \mathbb{P}^1 (coordinates s,t) and the four sections s^4, s^3t, st^3, t^4 . Determine the image of f as V(J) for a homogenous ideal J in k[w, x, y, z].

Proof. We use singular. Set up a ring with variables s,t,w,x,y,z, create the ideal generated by $w-s^4,x-s^3t,y-st^3,z-t^4$, and then use the eliminate function to get the generators in w,x,y,z. This gives $J=(wz-xy,xz^2-y^3,wy^2-x^2z,w^2y-x^3)$.

Let $\alpha: k[x_1, x_2, x_3]/(x_1x_3 - x_2^2) \to k[x, y], (x_1, x_2, x_3) \mapsto (x^2, xy, y^2)$ induce the morphism $f: \mathbb{A}^2_k = \operatorname{Spec}(k[x, y]) \to X = \operatorname{Spec}(k[x_1, x_2, x_3]/(x_1x_3 - x_2^2))$. Show that f is flat over every (a, b, c) with $ac = b^2 \neq 0$, but not over (0, 0, 0).

Proof. The morphism f is finite, so in order to be flat, $f_*(\mathcal{O}_{\mathbb{A}^2_k})$ must be locally free; in other words, the stalks over points in X should have the same rank. For $p=(a,b,c)\in X$ with $ac=b^2$, the residue field is $k[x_1,x_2,x_3]/(x_1-a,x_2-b,x_3-c)$. Then the stalk of $f_*(\mathcal{O}_{\mathbb{A}^2_k})$ at p is

$$k[x,y] \otimes_{k[x_1,x_2,x_3]/(x_1x_3-x_2^2)} k[x_1,x_2,x_3]/(x_1-a,x_2-b,x_3-c)$$

= $k[x,y]/(x^2-a,xy-b,y^2-c)$.

When (a,b,c)=(0,0,0), we have $k[x,y]/(x^2,xy,y^2)$, which is clearly 3-dimensional with basis $\{1,x,y\}$. If $ac=b^2\neq 0$, then we have the non-trivial linear relationship $bx=x^2y=ay$, so that the spanning set $\{1,x,y\}$ is no longer independent. Thus the rank is lower on a generic point, and f cannot be flat.

Consider the family of space curves given by $\alpha_a(t)=(t^2-1,t^3-t,at)$. Verify that the closure of the image of α_a is the subvariety $X=V(I)\subset \mathbb{A}^3_{k[a]}$, where

$$I = \langle a^2(x+1) - z^2, ax(x+1) - yz, xz - ay, y^2 - x^2(x+1) \rangle.$$

Show that k[a,x,y,z]/I is torsion-free over k[a], which shows that the map $X \to \mathbb{A}^1_{k[a]}$ is flat.

Proof. To compute I, we use Singular. Set up a ring with variables a,t,x,y,z and an ideal with generators $x-t^2+1,y-t^3+t,z-at$, and then use the eliminate function to get rid of t. This gives the stated expression of I. To find that k[a,x,y,z]/I is torsion-free over k[a], we can use Singular again. Import control.lib and use the function findTorsion(A,I) where A=k[a] as a module over k[a,x,y,z]. Then the CAS displays that the torsion is 0.

Locate the singular points of the following curves in \mathbb{A}^2_k with char $k \neq 2$.

- 1. $x^2 = x^4 + y^4$.
- 2. $xy = x^6 + y^6$.
- 3. $x^3 = y^2 + x^4 + y^4$.
- 4. $x^2y + xy^2 = x^4 + y^4$.

Proof. We use the Jacobian criterion for each equation. For (1), we have the Jacobian is $(4x^3 - 2x, 4y^3)$. The second component is 0 iff y = 0. Putting y = 0 into the equation for the curve gives $x^2 = x^4$, whence $x \in \{-1, 0, 1\}$. Only x = 0 gives $4x^3 - 2x = 0$, so the only singularity of this curve is (0,0). Hartshorne calls this a tacnode.

For (2), we have the Jacobian is $(6x^5-y,6y^5-x)$. If the Jacobian vanishes, then $6x^6=xy=6y^6$, but we know $xy=x^6+y^6$, so $5x^6=y^6$ and $5y^6=x^6$. Combining these two equations gives $24x^6=0$ and $24y^6=0$, whence (x,y)=(0,0), assuming char $k\neq 3$. If char k=3, the Jacobian is (-y,-x), and the only singularity is still (0,0). Hartshorne calls this a node.

For (3), The Jacobian is $(4x^3 - 3x^2, 4y^3 + 2y)$. The first component vanishes when x = 0 or x = 4/3, if char $k \neq 3$. When x = 0, we have $y^2 + y^4 = 0$, so y = 0 or $y^2 = -1$. The former case makes the Jacobian vanish, while the latter makes the second component $-2y \neq 0$. Thus (0,0) is a singularity. If x = 4/3, then $y \neq 0$ from the curve equation. Then the Jacobian vanishing forces $y^2 = -1/2$, and we can check that $(4/3)^3 \neq -1/2 + (4/3)^4 + (-1/2)^2$. Thus in this case, the only singularity is (0,0). If char k=3, then the Jacobian is $(x^3, y^3 - y)$. Then we must have x = 0, so y = 0 or $y^2 = -1$. As in the previous case, $y^2 = -1$ does not give a singularity, so the only singularity is (0,0). Hartshorne calls this a cusp.

For (4), the Jacobian is $(4x^3 - 2xy - y^2, 4y^3 - 2xy - x^2)$. Suppose the Jacobian vanishes. Multiply the two equations from this condition by x and y respectively, and add them together. This gives $x^2y + xy^2 = xy(x+y) = 0$. From the curve equation, x = 0 iff y = 0. If x + y = 0, then from the curve equation $2x^4 = 0$. This means (0,0) is the only singularity. Hartshorne calls this a triple point (note that the lowest degree term is 3, as opposed to the other equations). \square

Locate the singular points of the following surfaces in \mathbb{A}^3_k with char $k \neq 2$.

- 1. $xy^2 = z^2$.
- 2. $x^2 + y^2 = z^2$.
- 3. $xy + x^3 + y^3 = 0$.

Proof. For (1), the Jacobian is $(y^2, 2xy, -2z)$. Then a singular point must occur when y=z=0, which automatically satisfies the curve equation and the vanishing of the second component of the Jacobian. In other words, (x,0,0) is a singularity for all $x \in k$. Hartshorne calls this a pinch point.

For (2), the Jacobian is (2x, 2y, -2z), so the only singularity is (0, 0, 0). Hartshorne calls this a conical double point.

For (3), the Jacobian is $(3x^2+y,x+3y^2,0)$. Setting the first and second components to 0, multiplying by x and y respectively, and then adding gives xy=0. This $3x^3=3y^3=0$ from the Jacobian vanishing equations multiplied. If char $k\neq 3$, this implies x=y=0, so the singularities are (0,0,z) for all $z\in k$. If char k=3, the Jacobian is just (y,x,0), so clearly the only singularities are (0,0,z) for all $z\in k$. Hartshorne calls this a double line.

13 Problem 33.1

Let X be a curve, and let $P \in X$ be a point. Show that there exists a nonconstant rational function $f \in K(X)$ which is regular everywhere but P.

Proof. Let $Q \in X$ be a point distinct from P. Consider D = nP - Q for n a positive integer. If n > g, the genus of X, then by Riemann Roch, $\chi(\mathcal{L}(D)) = n - 1 + 1 - g > 0$. In particular, $\dim H^0(X, \mathcal{L}(D)) \ge 1$, which means there is a non-zero function $f \in K(X)$ with $div(f) + D \ge 0$. If f is constant, then div(f) = 0, but $D \not\ge 0$, so f is not constant. We know that $\nu_Q(f) - 1 \ge 0$, $\nu_P(f) + n \ge 0$, and $\nu_R(f) \ge 0$ for all points $R \in X$ distinct from P and Q. Thus f(Q) = 0, and since $\deg(div(f)) = 0$, we know f must have a pole somewhere. But f cannot have any poles on points that are not P or Q, and we just said it does not have a pole at Q, so it only has a pole at P.

14 Problem 33.2

Let X be a curve, and let $P_1,...,P_r \in X$ be points. Then there is a function $f \in K(X)$ which only has poles at the P_i .

Proof. From Problem 33.1, there are functions $f_1, ..., f_r \in K(X)$ such that f_i is regular everywhere but P_i . Then $f = f_1 + ... + f_r$ is regular everywhere but the P_i , and furthermore, since each f_j for $j \neq i$ is regular at P_i , we must have that f is not regular at P_i . In other words, there cannot be any cancellation of the singularities, since they occur at distinct points.

15 Problem 33.1

Let X be a curve of genus g over an algebraically closed field k. Show that there is a finite morphism $f: X \to \mathbb{P}^1$ of degree $\leq g+1$.

Proof. We invoke the following lemma:

Lemma. For a divisor C and a point P, we have l(C) is either l(C) or l(C) + 1.

Proof of the Lemma. The rational functions satisfying $f + C \geq 0$ must also satisfy $f + C + P \geq 0$, so $\mathcal{L}(C)$ is a subsheaf of $\mathcal{L}(C + P)$. Furthermore, they are the same except near P. It follows that the quotient sheaf is a skyscraper sheaf at P with value k, denoted k_P . Then we have the exact sequence

$$0 \to \mathcal{L}(C) \to \mathcal{L}(C+P) \to k_P \to 0.$$

Taking cohomology, we have the exact sequence

$$0 \to H^0(\mathcal{L}(C)) \to H^0(\mathcal{L}(C+P)) \to H^0(k_P) \xrightarrow{\delta} H^1(\mathcal{L}(C)).$$

By definition, $H^0(k_P) = k_P(X) = k$. If $\delta = 0$, then $H^0(\mathcal{L}(C+P)) \cong H^0(k_P) \oplus H^0(\mathcal{L}(C))$. Thus $l(C+P) = l(C) + \dim H^0(k_P) = l(C) + 1$. If $H^1(\mathcal{L}(C)) \neq 0$, then the map δ is injective, since any non-zero linear map from a one-dimensional vector space is injective. This implies that $H^0(\mathcal{L}(C)) \cong H^0(\mathcal{L}(C+P))$, so l(C) = l(C+P).

With the Lemma in hand, we continue with the problem at hand. Recall that maps to projective space are given by linear systems: an invertible sheaf generated by an appropriate number of sections. We can acquire invertible sheaves from divisors, where the number of generating sections is l(D), and the degree of the map will be the degree of the divisor. Thus, It suffices to find a divisor D with $\deg(D) \leq g+1$ and l(D)=2.

Starting with an arbitrary divisor E of degree equal to g+1, Riemann Roch says l(E)-l(K-E)=2, so $l(E)\geq 2$. If l(E)=2 we are done. If not, we can subtract a point from E to obtain a new divisor E_1 . By construction, $\deg(E_1)<\deg(E)$, and by the lemma, $l(E_1)$ will either be l(E) or l(E)-1. Note that if $\deg(D)\leq 0$, then l(D)<2 by Riemann Roch. Thus, as we subtract points from our original choice of divisor E, the number of sections goes from greater than 2 to less than 2 by at most one at a time, so it must equal 2 at some point. This gives the desired divisor.

16 Problem 36.1

Let C, D be two divisors on a surface X. Show that $C \cdot D = \chi(0) - \chi(-C) - \chi(-D) + \chi(-C - D)$.

Proof. For a divisor E on a surface X, Riemann Roch says $\chi(E) = \frac{1}{2}E \cdot (E - K) + 1 + p_a$, where p_a is the arithmetic genus of X. We apply this formula to each term in the expression $\chi(0) - \chi(-C) - \chi(-D) + \chi(-C - D)$, and note in advance that the $1 + p_a$ from each term cancels out thanks to the + - - + signs. Since $0 \cdot E = 0$ for any divisor E, we can also ignore completely the $\chi(0)$ term. Continuing, we have

$$\frac{1}{2} \left(-(-C) \cdot (-C - K) - (-D) \cdot (-D - K) + (-C - D) \cdot (-C - D - K) \right)$$

$$= \frac{1}{2} \left(-C \cdot (C + K) - D \cdot (D + K) + (C + D) \cdot (C + D + K) \right)$$

$$= \frac{1}{2} \left(-C \cdot (C + K) - D \cdot (D + K) + C \cdot (C + K) + C \cdot D + D \cdot (D + K) + D \cdot C \right)$$

$$= \frac{1}{2} \left(2C \cdot D \right) = C \cdot D.$$